



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Petre Dini, et al.

Serial No.: 10/637,174

Filed on: August 7, 2003

Confirmation No. 2931

Examiner: NYA

Group Art Unit No.: 2153

For: APPROACHES FOR CAPTURING ILLEGAL AND UNDESIRE BEHAVIOR IN
NETWORK COMPONENTS AND COMPONENT INTERACTIONS

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

Enclosed is a copy of Information Disclosure Citation Form PTO-1449 together with copies of the documents cited on that form. It is respectfully requested that the cited documents be considered and that the enclosed Information Disclosure Citation Form PTO-1449 be initialed by the Examiner to indicate such consideration and a copy thereof returned to applicant(s).

Pursuant to 37 C.F.R. § 1.97, the submission of this Information Disclosure Statement is not to be construed as a representation that a search has been made and is not to be construed as an admission that the information cited in this statement is material to patentability.

Pursuant to 37 C.F.R. § 1.97, this Information Disclosure Statement is being submitted under one of the following (as indicated by an "X" to the left of the appropriate paragraph):

 X 37 C.F.R. §1.97(b).

 37 C.F.R. §1.97(c). If so, then this Information Disclosure Statement includes one of the following:

 A statement pursuant to 37 C.F.R. §1.97(e)

 1.97(e)(1) The undersigned hereby states that each item of information contained in this information disclosure statement was first cited in communication from a foreign patent office in a counterpart

foreign application not more than three months prior to the filing of this information disclosure statement.

_____ 1.97(e)(2) The undersigned hereby states that no item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in this information disclosure statement was known to any individual designated in §1.56(c) more than three months prior to the filing of this information disclosure statement.

_____ A check for \$180.00 for the fee under 37 C.F.R. § 1.17(p).

_____ 37 C.F.R. §1.97(d). If so, then this Information Disclosure Statement includes the following:

_____ A statement pursuant to 37 C.F.R. §1.97(e)

_____ 1.97(e)(1) The undersigned hereby states that each item of information contained in this information disclosure statement was first cited in communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this information disclosure statement; OR

_____ 1.97(e)(2) The undersigned hereby states that no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in §1.56(c) more than three months prior to the filing of this information disclosure statement.

AND

_____ A check for \$180.00 for the fee under 37 C.F.R. §1.17(i) for submission of the Information Disclosure Statement.

_____ 37 C.F.R. §1.97(i). Wherein applicants are submitting references before the grant of a patent to be placed in the file but not considered by the Patent office.

(1) Accordingly, copies of the references as listed on the attached Form PTO 1449 are submitted herewith for placement in the file. No certification or fees are deemed necessary.

Throughout the pendency of this application, please charge any additional fees, including any required extension of time fees, and credit all overpayments to deposit account 50-1302. A duplicate of this sheet is enclosed.

Respectfully submitted,

HICKMAN PALERMO TRUONG & BECKER LLP

Dated: November 21, 2003



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I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage in an envelope addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on
November 21, 2003.
(Date of Deposit)

Annette Jacobs

(Typed or printed name of person mailing correspondence)



(Signature of person mailing correspondence)

SERIAL NO.
10/637.174

FILING DATE:
August 7, 2003

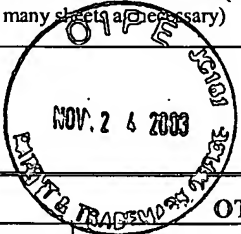
GROUP:
2153

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Date Considered

¹Unique citation designation number. ²See attached Kinds of U.S. Patent Documents. ³Enter Office that issued the document, by the two-letter code (WIPO Standard S.3). ⁴For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.

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Substitute for Form 1449A/PTO (Modified) (use as many sheets as necessary)		Attorney Docket No.: 50325-0780	Application Number: 10/637,174
		First Named Inventor: Petre Dini, et al.	
		Filing Date: August 7, 2003	
OTHER ART – NO PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	Translation ²
		Network Working Group, RFC 1157 (RFC1157), entitled "A Simple Network Management Protocol (SNMP)", by J. Case, et al., dated May 1990, (pgs. 1-28), http://www.faqs.org/rfcs/rfc1157.html	
		Network Working Group, RFC 1213 (RFC1213), entitled "Management Information Base for Network Management of TCP/IP-based internets:MIB-II", by K. McCloghrie, et al., dated March 1991, (pgs. 1-61), http://www.faqs.org/rfcs/rfc1213.html	
		Network Working Group, RFC 1156 (RFC1156), entitled "Management Information Base for Network Management of TCP/IP-based internets", by K. McCloghrie, et al., dated May 1990, (pgs. 1-71), http://www.faqs.org/rfcs/rfc1156.html	
		Network Working Group, RFC 1189 (RFC1189), entitled "Common Management Information Services and Protocols for the Internet (CMOT and CMIP)", by U. Warrier, et al., dated October 1990, (pgs. 1-13), http://www.faqs.org/rfcs/rfc1189.html	
		Cisco Systems, Inc., entitled, "Cisco CNS Notification Engine SNMP Support Guide", #OL-3057-03, dated 7/29/03, (18 pgs).	
		Cisco Systems, Inc., entitled, "Cisco CNS Notification Engine SNMP Support Guide for Release 3.0", #OL-3057-03, dated 3/20/03, (9 pgs).	
		Cisco Systems, Inc., entitled, "Cisco IOS Software Releases 12.0 S, SNMP Support for VPNs", dated 3/6/03, (pgs. 1-13).	
		Cisco Systems, Inc., entitled, "Cisco IOS Software Releases 12.0S, SNMP Notification Logging", dated 1/30/03, (pgs. 1-8).	
		TSG-RAN Working Group 2 (Radio layer 2 and Radio layer 3), Stockholm 8 th to 11 th , entitled, "RLC Protocol States", source Ericsson, dated March 1999, (3 pgs).	
		Cisco Systems, Inc., entitled, "Cisco CNS Notification Engine, Introduction to SNMP", dated 10/23/03, (pgs. 1-4).	

Examiner Signature		Date Considered	
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*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication.

¹Unique citation designation number. ²Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.